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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan et al.

Docket No.: 60021-375602

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Group Art Unit: Unassigned

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Examiner: Unassigned

For: **FINANCIAL PORTFOLIO RISK MANAGEMENT**

Assistant Commissioner of Patents
Washington, D.C. 20231

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service First Mail service on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on December 12, 2002.

Ann Pommier
Ann Pommier

PRELIMINARY AMENDMENT

Sir:

Please amend the Application and consider the remarks as hereafter provided.

This is a clean copy of the specification and claims. Attached hereto is a marked-up version of the changes made to the specification and claims. The attached pages are captioned "Version with Markings to Show Changes Made".

In the Specification:

Please amend the specification starting with page 1, line 20 to read as follows:

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DEC 20 2002

GROUP 3600

FIELD OF INVENTION

The present invention relates generally to computerized information systems and more particularly to web-enabled computer implemented financial modeling systems.

BACKGROUND OF THE INVENTION

In today's economic environment, increasing number of individuals are supplementing their retirement plans with personal investment portfolios. Rather than investing in mutual funds, everyday greater numbers of individuals are opting for individually managed portfolios.

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